

UNITED STATES
PATENT AND
TRADEMARK OFFICECommissioner for Patents
Washington, DC 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/779,703	02/09/2001	Rudolf Lucas	2551-55

NIXON & VANDERHYE P.C.
8th Floor
1100 North Glebe Road
Arlington, VA 22201

CONFIRMATION NO. 6732

FORMALITIES LETTER



OC00000008936648

Date Mailed: 10/10/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/779,703 10/23/02 03co.1

In re Patent Application of

Atty Dkt. 2551-55

LUCAS et al.

C# M#

Serial No. 09/779,703

Group Art Unit: Unassigned

Filed: February 9, 2001

Examiner: Unassigned

Date: October 23, 2002

Title: TNF-DERIVED PEPTIDES FOR USE IN TREATING OEDEMA

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE/AMENDMENT/LETTER

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

Fees are attached as calculated below:

Total effective claims after amendment	0	minus highest number		
previously paid for	20	(at least 20) =	0	x \$ 18.00
				\$ 0.00

Independent claims after amendment	0	minus highest number		
previously paid for	3	(at least 3) =	0	x \$ 84.00
				\$ 0.00

If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)	\$ 0.00
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Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months)	\$ 0.00
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Terminal disclaimer enclosed, add \$ 110.00	\$ 0.00
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<input type="checkbox"/> First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$740.00)	\$ 0.00
<input type="checkbox"/> Please enter the previously unentered, filed	
<input type="checkbox"/> Submission attached	

Subtotal \$ 0.00

If "small entity," then enter half (1/2) of subtotal and subtract	-\$ 0.00
<input type="checkbox"/> Applicant claims "small entity" status. <input type="checkbox"/> Statement filed herewith	

Rule 56 Information Disclosure Statement Filing Fee (\$180.00)	\$ 0.00
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Assignment Recording Fee (\$40.00)	\$ 0.00
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Other: Response; Notice to Comply (10/10/02); Copy of Preliminary Amendment (2/9/01); Copy of Letter (2/9/01); Copy of Post Card Receipt (2/9/01); Copy of Amendment (9/25/02); Copy of Notice to File	0.00
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Corrected Application Papers (7/25/02); Copy of Post Card Receipt (9/25/02)

TOTAL FEE ENCLOSED \$ 0.00

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYTE P.C.
By Atty: B. J. Sadoff, Reg. No. 36,663

Signature: _____

[Handwritten Signature]



09/779,703 10/23/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

LUCAS et al.

Atty. Ref.: 2551-55

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For: TNF-DERIVED PEPTIDES FOR USE IN TREATING OEDEMA

* * * * *

October 23, 2002

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE

Responsive to the Notice to Comply dated October 10, 2002 (copy attached), the Office is requested to appreciate that a Sequence Listing, as required by the Rules, was filed February 9, 2001 with the Preliminary Amendment requesting entry of the same. A copy of the Preliminary Amendment dated February 9, 2001, a copy of the Letter (i.e., Statement) filed February 9, 2001, indicating that the paper and computer readable copies of the Sequence Listing are the same and that no new matter was added, and a copy of the undersigned's Post Card receipt from the filing of February 9, 2001, are attached. Moreover, a copy the filing of February 9, 2001, was re-submitted with the Amendment of September 25, 2002, in response to the Notice to File Corrected Application Papers mailed July 25, 2002. A copy of the undersigned's Amendment of September 25, 2002,

LUCAS et al.
Serial No. **09/779,703**

the Notice of July 25, 2002, and the undersigned's Post Card receipt from the filing of September 25, 2002, are attached.

The Office is requested to contact the undersigned if anything further is required. The undersigned submits the Rules relating to the Sequence requirements have been complied with. Specific and particularized Notice of any deficiency in this regard is requested along with an opportunity to supply any further needed information.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____



B. J. Sadoff
Reg. No. 36,663

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